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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

TUMB-102 CIP 2

First Inventor

Bandini et al.

Title

Method and System for Filtering Communications

Express Mail Label No

EL 790614587 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit and original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 12]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

5. Oath or Declaration [Total Pages 2]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

MS Patent Application
Commissioner for Patents
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☐ Divisional

☒ Continuation-in-part

of prior application No. : _____

Prior application information:

Examiner ...Not yet assigned...

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



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GUY PERRY

Registration No. (Attorney/Agent)

46,194

Signature

Date

October 2, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application,



14230 U.S. PTO

Attorney Docket No. TUMB-102 CIP 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : **Bandini et al.**
Serial No. : Not Yet Assigned
Filed : **Concurrently Herewith**
Title : **Method and System for
Filtering Communications**

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

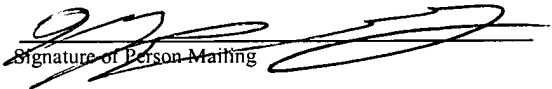
"Express Mail" Mailing Label Number

EL 790 614 587 US

Date of Deposit: October 2, 2003

I hereby certify that this paper and all enclosures referred to therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above with sufficient postage in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450

Name of Person Mailing: Guy Perry


Signature of Person Mailing

PATENT APPLICATION TRANSMITTAL LETTER AND FEE AUTHORIZATION

Commissioner for Patents
MS PATENT APPLICATION
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

Specification, including claims, abstract and two (2) figures (2 sheets);

Utility Patent Application Transmittal (PTO Form SB/05); and

Declaration and Power of Attorney (unsigned);

Return Receipt Postcard.

The fees for submitting this patent application are estimated as follows:


				SMALL ENTITY		OTHER	
				Rate	Fee	Rate	Fee
Basic Fee					\$ 385.00.		\$ 770.00
Total Claims	4	(- 20)	--	x 9.00	\$ --	x 18.00	\$
Indep. Claims	1	(- 3)	--	x 43.00	\$ --	x 86.00	\$
Multiple Dependent Claim(s):				--	\$145.00	\$ --	\$290.00
				TOTAL:	\$	TOTAL	\$ 770.00

The Commissioner is hereby authorized to charge the filing fee estimated to be **\$770.00** and any fees which may be required in connection with this submission, to Skadden, Arps, Slate, Meagher & Flom LLP **Deposit Account No. 19-2385**. (Please reference Attorney Docket No. **TUMB-102 CIP 2**).

X Priority of Application No. **60/053,668**, filed **July 24, 1997** is claimed under 35 U.S.C. § 119.

Date: October 2, 2003

Respectfully submitted,

By: 
 GUY PERRY Reg. No. 46,194

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Enclosures